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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2

IN RE APPLICATION OF: MILLS, A. )  
)  
FOR: METHOD FOR NONINVASIVE )  
CONTINUOUS DETERMINATION )  
OF PHYSIOLOGIC )  
CHARACTERISTICS )  
)  
SERIAL NO: 10/008,245 )  
)  
FILED: November 7, 2001 )  
)  
ART UNIT NO: 3736 )  
)  
EXAMINER: To be assigned )  
)  
DOCKET NO: WT-02-004C )

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING  
OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

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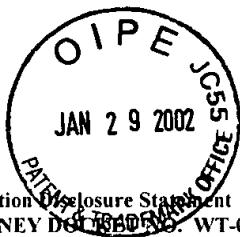
Assistant Commissioner for Patents  
BOX DD  
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.97 - 1.98 and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56, submitted herewith is information of which Applicants are aware and that Applicants believe may be material to the examination of this application.

The Information Disclosure Statement submitted herewith is being filed *within* three months of the filing date of this national application; or the date of entry of the national stage as set forth in § 1.491 in an international application; or before the mailing date of the first Office action on the merits, whichever event occurs last. Accordingly, no fee or certificate is believed to be required.

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Information Disclosure Statement  
ATTORNEY DOCKET NO. WT-02-004C

### **List of Sections Forming Part of Information Disclosure Statement**

- ☒ Preliminary Statement under 37 C.F.R. § 1.97(h)
- ☐ Statement under 37 C.F.R. § 1.97(e)
- ☐ Concise Explanation of Non-English Language Listed Information Items
- ☐ PCT International Search Report
- ☒ Identification of Person(s) Making This Information Disclosure Statement
- ☒ Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- ☒ Copies of Listed Information Items Accompanying Statement

### **Preliminary Statement under 37 C.F.R. § 1.97(h)**

The Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed to be an admission that the information set forth in the statement is, or is considered to be, material to patentability as defined in §1.56(b). Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

### **Copies of Listed Information Items Accompanying Statement**

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this Information Disclosure Statement.



### Identification of Person Making the Information Disclosure Statement

The person making this statement is

- (a) \_\_\_\_\_ the inventor(s) who signs below

[Name of Inventor]: \_\_\_\_\_

- (b) \_\_\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

[Name of Inventor]: \_\_\_\_\_

- (c) XX the attorney who signs below on the basis of the information:

XX supplied by the inventor(s).

XX supplied by an individual associated with the filing and prosecution of this application. (37 CFR 1.56(c)).

XX in the attorney's file.

Dated: 1.29.02

By: 

Ralph C. Francis  
Registration No. 38,884

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I hereby certify that this document is being deposited with the United States Postal Service on this date 1-29-02 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EVO44754954US addressed to the Assistant Commissioner for Patents, Box DD, Washington, D.C. 20231.

  
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